

Human Resources Excellence in Research

Annex I

Amended version as of April 2016

to

Internal Gap Analysis and Action Plan for the period 2013 – 2015:

Report on Step 4

Implementation of Action Plan

and Self-assessment

Warsaw, April 2016

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1. Introduction

Since 2013 the International Institute of Molecular and Cell Biology in Warsaw is acknowledged by the prestigious HR Excellence in Research Logo for the implementation of the principles of the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers. This is an important element of a demanding process of implementing the European Charter and Code policies and practices. The general idea of this process is to render the Institute an attractive place for researchers to work at.

Following the Gap Analysis of the Institute's practices vis-a-vis rules of the European Charter and Code, in the period 2013-2015 IIMCB focused on the implementation of the Action Plan aiming to improve working conditions at IIMCB. Among others the following activities were undertaken:

- organization of soft-skills and career-development training for researchers
- distribution of information on job opportunities
- involvement of researchers in decision-making process
- rising awareness about ethics in science and rules of Responsible Conduct of Research
- appointment of Ombudsmen for researchers and administrative staff.

2. Step 4

The Step 4 of the Human Resources Strategy for Researchers (HRS4R) at IIMCB was a two-fold process. First, the responsible persons implemented activities planned in the Action Plan. Secondly, they performed a self-assessment. The self-assessment consisted of the follow-up of activities performed so far, and on the questionnaire carried out among the in-house researchers.

Implementation of Step 4 involved members of the HR Working Group, composition of which has changed in the meantime. Since February 2016 and until the date of this report the Group consists of: Dr. Agnieszka Kolano, the leader of the HR Working Group, Dr. habil. Marcin Nowotny (representing Directors and Group Leaders), Dr. Michał Pawlak and Dr. Dorota Niedziałek (representing Postdoctoral Fellows), Ashta and Catarina Almeida (representing PhD Students), Beata Tkacz (Head of Human Resources Unit), and Dorota Libiszowska (Head of Grants Office).

2.1 Action Plan follow-up

The table below presents the Action Plan submitted to EU in 2013, complemented with the "Action Plan follow-up as of 04.2016" column clearly showing planned activities.

Charter and Code rules	IIMCB current and proposed actions	Action Plan follow-up as of 04.2016
28. Career development	Current practices at IIMCB:	
Employers and/or	- Ordinance on raising professional	
funders of researchers	qualification of employees (5/2010)	
should draw up,	The specific area of career development is	
preferably within the	not formalized. The natural role of Group	
framework of their	Leaders is to mentor their co-workers. PhD	
human resources	Students and Postdoctoral Fellows regularly	
management, a specific	participate in complementary skills training	
career development	on scientific communication organized within	
strategy for researchers	the Institute.	
at all stages of their		
career, regardless of		
their contractual	Improvements implemented:	

Table 1: Action Plan follow-up

	situation, including for	1. In 2012-2013, a series of open workshops	
	researchers on fixed-	for IIMCB researchers were organized by the	
	term contracts. It should	IIMCB Technology Transfer Unit (BioTech-IP)	
	include the availability	on work, teams, projects, time management,	
	of mentors involved in	and patenting and commercializing research	
	providing support and	results (11 courses in 2012 and 15 courses by	
	guidance for the	Sep 30, 2013). Additionally, training on the	
	personal and	Euraxess portal was delivered to IIMCB	
	professional	researchers by the Polish Euraxess NCP expert	
	development of	(Nov 15, 2011).	
	researchers, thus		
	motivating them and	2. The Journal Club is a monthly open	
	contributing to reducing	discussion forum organized by and for PhD	
	any insecurity in their	students from all IIMCB laboratories. The	
	professional future. All	Journal Club allows young scientists to	
	researchers should be	present, discuss, and exchange opinions	
	made familiar with such	about the most recent publications from	
	provisions and	different fields in molecular and cell biology.	
	arrangements.	These meetings open discussions on different	
	20 A	fields of related science and foster	
	30. Access to career	professional and social networking between	
	advice	young researchers.	
	Employers and/or		
	funders should ensure	3. The first PhD Students Winter Retreat was	
	that career advice and	held on Feb 15-19, 2012, the main aim of	
	job placement	which was integration and career	
	assistance, either in the	development. Eleven IIMCB PhD students	
	institutions concerned,	attended.	
	or through collaboration		
	with other structures, is offered to researchers	Improvements planned:	Done (over 10 training sessions,
	at all stages of their	1. A series of eight workshops to be organized	including science-to-business
	careers, regardless of	on work, teams, projects, time management,	meetings organized) – similar actions
	their contractual	and patenting and commercializing research results.	be continued according to the New
	situation.	Who: BioTech-IP	Action Plan (see page 12 of this
	Situation.		report)
		When: first course in Oct 2013 and 2014	Done – to be continued on monthly
			basis (last Fridays of the month)
		2. Journal Club meetings continued every	basis (last mudys of the month)
1		month	
1			Partly done – the retreat (I
		3. Organization of the retreat for PhD	Interdisciplinary Symposium of PhD
		Students with a session devoted to career	students) took place in April 2015. The
		development and career advice.	scientific program was so intense that
		Who: Representatives of PhD Students	no additional sessions were organized.
		When: Feb 2014 and 2015	The networking among PhD students
			from IIMCB and from the Institute of
			Physical Chemistry of the PAS and
			their supervisors was a very valuable
			experience which contributed to
			career development of young
			researchers.
		4. Course on Research Integrity and	Done

	Responsible Conduct of Research, IIMCB,	
	expert Prof. Nils Axelsen, Statens Serum	
	Institute, DK (15 PhD Students and four	
	Senior Scientists)	
	Who: International Cooperation Unit	
	When: Sep 30-Oct 3, 2013	Done
	5. Career development lectures given by	bolic
	distinguished scientists: Prof. Gottfried	
	Schatz, University of Basel, Switzerland	
	("Science and the Modern University") and	
	Prof. Ineke Braakman, Utrecht University, NL	
	("From Pharmacy to Molecular and Cell	
	Biology"), Inauguration of the Academic Year of Biocentrum Ochota, IIMCB. Expected	
	audience: over 300 PhD students from	
	Ochota Campus; 55 PhD students from IIMCB.	
1	Who: Representatives of PhD Students	
	When: Oct 25, 2013	
		Activity replaced – This activity was
	6. Organization of seminars/discussion panels	replaced with TACs. Based on the
	every 2 years on career development for	International Advisory Board's
	advanced PhD Students (3 rd -4 th year). The aim	recommendation, IIMCB launched
	will be to present various pathways of career development based on the examples of	Thesis Advisory Committees (TACs), which are appointed for all new PhD
	former IIMCB researchers invited to such	programs in IIMCB. Consisting of three
	meetings (company employees, science	members (thesis supervisor and 2
	managers, editors of scientific journals,	experienced researchers), the TACs
	scientific journalists, EC officers, etc.).	monitor and periodically (yearly)
	Who: HR Working Group	assess progress of research carried out
	When: Sep-Oct 2014 and 2015	by the PhD student and provide an
		opinion and advice on further
		research directions and career
		development.
		Done - to be continued on regular
	7. Distribution within the Institute (via	basis based on received external
	internet, on the hallway information board)	information/offers, etc.
	of information about available	
	posts/fellowships/training and workshops on	
	career development. Who: International Cooperation Unit and	
	Domestic Grants Unit (as of 1.12.2015 both	
	units merged into Grants Office), BioTech-IP	
	When: Ongoing process	
		Done - to be continued on regular
	8. Acting as a local contact point for Euraxess	basis
	portal, and encourage scientists to use,	
	manage, and take advantage of it. Who: International Cooperation Unit (as of	
	1.12.2015 Grants Office)	
	When: Ongoing process	
25. Stability and	Current rules and practices at IIMCB: No	
permanence of	permanent positions are available for	

employment	scientists. As a rule, researchers spend one	
Employers and/or	career step in one laboratory. However,	
funders should ensure	because IIMCB laboratories have	
that the performance of	complementary interests, if the opportunity	
researchers is not	arises, then young researchers are free to	
undermined by	seek further employment in a different	
instability of	laboratory within the Institute.	
employment contracts,	aboratory within the institute.	
and should therefore		Dana and to be continued (see your
	Improvement proposals: To compensate for	Done and to be continued (see page
commit themselves as	the lack of permanent positions, we propose	12 of this report)
far as possible to	to strengthen activities related to career	
improving the stability	development and career advice. Better	
of employment	qualified, skilled, and informed researchers	
conditions for	will be able to find satisfactory employment	
researchers, thus	and more smoothly adapt to new conditions	
implementing and	and environments. Improvements proposed	
abiding by the principles	for point 25 are coherent with points 28 and	
and terms laid down in	30 described below.	
the EU Directive on		
Fixed-Term Work.		
34. Complains/appeals	Current practices at IIMCB:	
Employers and/or	- Ordinance regarding the implementation of	
funders of researchers	Good Scientific Practices (2/2006)	
should establish, in	- Ordinance regarding the announcement of	
compliance with	regulations pertaining to investigating and	
national rules and	making decisions on accusations of scientific	
regulations, appropriate	misconduct (3/2006).	
procedures, possibly in		
the form of an impartial	Improvements planned:	
(ombudsman-type)	1. Appointment of an Ombudsman at IIMCB	Done – IIMCB appointed two
person to deal with		Ombudsmen in 2015:
complaints/appeals of	to act as a mediator in complaint/appeal	1. Dr. Urszula Białek- Wyrzykowska
researchers, including	cases (Postdoctoral Researcher initiative). The	became Ombudsman for Scientists
-	Ombudsman will have the following	
those concerning	characteristics: independent, professional,	2. Dr. Krzysztof Skowronek became
conflicts between	respected, impartial, assertive, and discrete.	Ombudsman for Administrative
supervisor(s) and early-		employees.
stage researchers. Such	<u>Who</u> : Director following recommendations	
procedures should	from the HR Working Group	
provide all research staff	<u>When</u> : First quarter of 2014	
with confidential and		
informal assistance in	2. Development of appropriate procedures.	Done – both Ombudsmen were
resolving work-related	Who: HR Working Group	appointed at IIMCB by separate
conflicts, disputes and		Director's Decisions.
grievances, with the aim	When: First quarter of 2014	
of promoting fair and		
equitable treatment		
within the institution		
and improving the		
overall quality of the		
working environment. 35. Participation in	Original rules and practices at UMCP:	
55. Participation in	Original rules and practices at IIMCB: According to the IIMCB Founding Regulation,	
decision-making hodies		
-		
decision-making bodies Employers and/or funders of researchers	decision-making is the responsibility of the	
Employers and/or funders of researchers	decision-making is the responsibility of the IIMCB Director who is supported by the	
Employers and/or	decision-making is the responsibility of the	

indeed desirable, that researchers be represented in the relevant information, consultation and decision-making bodies of the institutions for which they work, so as to protect and promote their individual and collective interests as professionals and to actively contribute to the workings of the institution.	Improvement introduced: 1. In 2012 and 2013, IIMCB organized closed meetings of International Advisory Board members with PhD Students and separately with Postdoctoral Fellows. It gave young scientists the opportunity to talk directly to IAB members and present their opinions, expectations, and problems. These meetings laid the foundation for two important initiatives: - Yearly closed meetings of PhD Students and Postdoctoral Fellows with IAB members became a regular and binding practice at IIMCB.	Done
	 PhD Students and Postdoctoral Fellows elected their representatives to actively participate in IIMCB management activities. These representatives take part in relevant meetings with Directors and Group Leaders, during which they express their opinions and participate in decision making. They are also members of HR working group and actively participate in planning different actions dedicated career development. 	Done
9. Public engagement Researchers should ensure that their research activities are made known to society at large in such a way that they can be understood by non- specialists, thereby improving the public's understanding of science. Direct engagement with the public will help researchers to better understand public interest in priorities for science and technology and also the public's concerns.	Current rules and practices at IIMCB: - IIMCB is a co-founder of the Centre for Innovative Bioscience Education (BioCEN), focused on educational activities aimed at reducing the gap between science and society in Poland (http://www.biocen.edu.pl/en/). BioCEN runs laboratory workshops for students, practical courses for biology teachers, and open lectures. They develop and supply schools with innovative equipment and materials, such as experimental kits, practical protocols, classroom activities, and games. BioCEN also contributes to public events, popularizing science such as the Warsaw Science Festival and Science Picnic. <u>Improvements introduced:</u> 1. Drafting strategic aims that lead to wider visibility of IIMCB among wide public and other non-specialist target groups, such as patient organizations, businesses, representatives of local public administration, and the media. 2. Establishing cooperation with local authorities. For example, IIMCB and the city of Warsaw participate in an INTERREG project focused on technology transfer in biotechnology (2012-2014), http://business.tartu.ee/why-	Since the beginning of its activities 14 years ago, BioCEN organized hands-on workshops for about 20 000 children. Since 2013 nearly 10 000 pupils received training.

	tartu/projects/ettbio/effective-technology- transfer-biotechnology-ettbio. 3. Employment of a professional Public Relations Manager in 2013 responsible for the development of the public relations and visibility strategy and its coherent and continuous implementation. <u>Improvements planned</u> : 1. Elaboration and implementation of a short, medium-, and long-term public relations strategy among non-scientific communities. <u>Who</u> : Public Relations Manager <u>When</u> : Ongoing process starting with the recruitment of the Public Relations Manager. Foreseen delivery date of public relations strategy: May 2014	Done and to be continued on regular basis through the following actions: • Open days for: - Students from the Faculty of Biotechnology of the University of Agriculture, Cracow. Event held in autumn each year. Foreseen audience: 15-20 students - Talented high-school pupils held in spring on yearly basis in cooperation with the Polish Children's Fund, a non- governmental organization who helps exceptionally gifted pupils and students develop their academic interests and artistic talents. Foreseen audience: 30 pupils • Participation in Warsaw Science Festival held in Warsaw each year in September– organization of practical workshops for pupils • Participation in "Play for an internship "contest, a nationwide internship program organized on yearly basis, in which young people from across the country have an opportunity to win a naid internship in the
		the country have an opportunity to win a paid internship in the best companies and institutions in Poland.
26. Funding and salaries Employers and/or funders of researchers should ensure that researchers enjoy fair and attractive conditions of funding and/or salaries with adequate and equitable social security provisions (including sickness and parental benefits, pension rights and unemployment benefits) in accordance with existing national legislation and with national or sectorial collective bargaining	Current rules and practices at IIMCB: - Ordinance on rules of employee remuneration and annual bonuses (1/2012) - Ordinance on the bonus rules (2/2012) IIMCB is funded by various sources: subvention from national sources (~20%), domestic and foreign competitive grants (~80%). The majority of researchers are funded by external competitive sources. This gives the opportunity to achieve more decent salaries with social security provisions, but such employment is guaranteed only for a period of a grant's duration. IIMCB employees can also benefit from: - a private medical care program in which IIMCB is enrolled, free of charge for PhD Students (it is paid from the Institute's budget) and has preferential rates for the rest of employees.	

include researchers at C	a social support (there is a Social Fund Committee responsible for distribution of	
	Committee responsible for distribution of	
all care or stages fu		
all career stages fu	unds)	
including early-stage	,	
	marquaments introduced:	
,	mprovements introduced:	.
	Developed system of information on open	Done and continued on regular basis,
-	alls for proposals for project funding from	based on available funding
performance and level de	lomestic and European/international	
of qualifications and/or so	ources.	
responsibilities.		
-	mprovements planned:	Done and continued on regular basis
	. Strengthened strategic planning of	bone and continued on regular basis
	.	
	ustainability of employment of researchers	
	hrough:	
	early checking of possible funding	
0	opportunities and planning applications for	
fu	uture grants	
-	developing innovative and competitive	
	esearch projects that are amenable to	
	eceiving continuous support	
	S	
	when possible, organization of research	
	tays abroad at foreign partnering institutions	
fc	or a precise period of time with guaranteed	
re	eturn to IIMCB.	
w	Who: Group Leaders, Senior Scientists, and	
	Postdoctoral Fellows in cooperation with	
	nternational Cooperation and Domestic	
	Grants Unit (as of 1.12.2015 both units	
	nerged into Grants Office).	
<u> </u>	<u>Vhen</u> : Ongoing process	

2.2 Questionnaire among IIMCB researchers

As listed in the above table, most of the activities planned in IIMCB Action Plan were undertaken. Once they were implemented, IIMCB proceeded to the next phase of step 4 of HRS4R – Questionnaire among Institute's researchers.

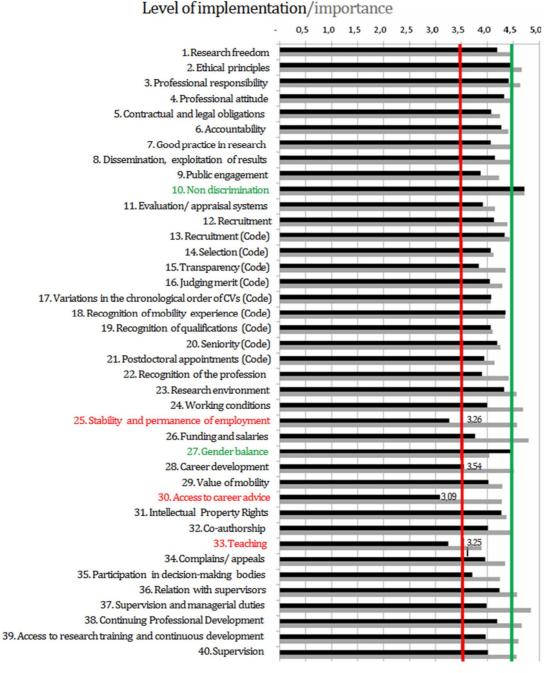
2.2.1 Process Description and Methodology

To receive a comparable outcomes, IIMCB invited researchers to fill in the same questionnaire as in the Gap Analysis in 2011 (the EC recommended document *Annex I to HRS4R* was used). As the Institute has expanded in terms of personnel over the last years, it was decided that this time the process will be carried out using an Internet tool. The participants were asked by the e-mail message to complete an anonymous on-line questionnaire. They were requested to refer to each of the 40 rules of the Charter and Code by evaluating: the level of implementation at IIMCB and their importance. The possible scores for each item were 0-5 points, with 5 meaning excellent. Respondents were also encouraged to express their opinions and suggestions on actions to be taken.

Out of the total 135 IIMCB researchers invited to respond to the questionnaire, 68 took part in the survey, which constituted 50% participation rate. The participants were grouped into six professional categories: Directors (3), Group Leaders (4), Senior Scientists (1), Postdoctoral Fellows (31), PhD Students (18), and Research Technicians (11).

2.2.2 Progress in Charter and Code implementation

The results of questionnaire are shown on the graph below.



SUMMARY OF QUESTIONNAIRES

Graph's legend

> 3.5 level of implementation at IIMCB – STRENGTHs< 3.5 level of implementation at IIMCB – CHALLENGES</p>

There were 3 items identified as challenges comparing to 7 in the Gap Analysis. The table below shows the progress of challenges from Gap Analysis carried out in 2011 to Self-Assessment from 2015.

Table 2: Progress of challenges

Challenges identified	Gap Analysis in 2011	Self-assessment in 2015
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9. Public engagement	Challenge	Strength
26. Funding and salaries	Challenge	Strength
25. Stability and permanence of employment	Challenge	Challenge
28. Career development	Challenge	Strength
34. Complaints/appeals	Challenge	Strength
35. Participation in decision-making bodies	Challenge	Strength
30. Access to career advice	Challenge	Challenge
33. Teaching	Strength	Challenge

As indicated above, the general tendency in perception of the European Charter and Code rules by IIMCB researchers is positive and improved since the beginning of the HRS4R process. Self-assessment results prove that the implemented actions bring concrete results and have a general positive impact on IIMCB working environment.

However there are still three items perceived by research employees as challenges. These are 33. Teaching (3.25), 25. Stability and permanence of employment (3.26) and 30. Access to career advice (3.09).

33. Teaching (3.25)

IIMCB foresee no teaching obligations for researchers and most probably this is why the level of implantation in this area was judged quite low. This reasoning is reflected in additional respondents remarks (by Director: *"Teaching is not a part of the duties at the IIMCB, but is supported on individual bases"*; by Lab Leader: *"There is no teaching in IIMCB"*). However, to support scientists willing to develop teaching activities, IIMCB Directors will support bottom-up initiatives on individual basis as well as engage volunteers in educational activities that are held at IIMCB such as: open days for students, popularization sessions for talented high-schoolers or, workshops for pupils. Researchers at every stage of their career will also be encouraged to contribute to the activities of BioCEN, Centre for Innovative Bioscience Education, foundation supported by IIMCB, which provides education activities in biology to pupils and school teachers. They can also participate in future IIMCB popularization campaigns building on the one which is currently carried out by the Institute and funded by the EU FP7 Regpot project *"*FishMed". The "Be Healthy as a Fish" (http://fishmed.iimcb.gov.pl/eduen/) action involves interactive tools and is directed toward primary school pupils with a focus on the use of zebrafish models to study human diseases.

25. Stability and permanence of employment (3.26) and 30. Access to career advice (3.09).

The lack of stability and permanence of employment is one of the characteristic features of scientific careers and cannot be easily changed at the level of IIMCB. This concern stems from the rules that govern the employment of all researchers at IIMCB. According to the Parliamentary bill of Jun 26, 1997, which established IIMCB, all researchers are employed on the basis of temporary contracts, the prolongation of which depends on the scientists' research evaluation and availability of funding. To compensate for the lack of permanent positions, we propose to strengthen activities related to career development and career advice. We hope that better qualified, skilled, and informed researchers will

easily find satisfactory employment and more smoothly adapt to new conditions and environments. We believe this pathway of action will have positive outcome also on the stability and permanence of employment.

2.3 New Action Plan 2016-2018



Improvements already initiated and to be continued:

HR Working Group created the **Career Development Platform**, internal IIMCB initiative which underpins the following activities:

 <u>Career Office</u> – we will benefit from the experience of well-functioning Career Office at the Warsaw Medical University or we will create a Career Office common for the Biocentrum Ochota (www.biocentrumochota.pan.pl) partners. Within this, we will propose more actions dedicated to soft skills trainings – <u>writing CV, motivation letters, self-presentation at interview</u> and other types if needed;

<u>When</u>: The concept of Career Office will be formulated in the second half of 2016; soft skills training once a year.

2. <u>Training on career development</u>:

- a. Common lunches with external speakers invited to IIMCB for Institute's Thursday seminars. Socializing with great scientists is a fantastic occasion to ask questions regarding scientific career. Information about the lunch meeting is sent one week in advance so interested researchers can sign up for it. This initiative is to become a common practice at our Institute. <u>When:</u>
 - The first such lunch was accomplished on 17 March, 2016
 - On regular basis, based on the schedule of IIMCB seminars (started on March 17, 2016)
- <u>workshops</u> on career development with participation of our PIs and external guests, people of scientific background who pursue different career paths (the configuration of those workshops to be decided by our junior researches and PhD students).
 <u>When</u>: once a year as an open event
- 3. <u>Individual sessions with PI</u> We want to underline that all PIs are open for individual meetings and discussions on the future plans of young scientist and ask for advice/support <u>When</u>:
 - Individual meetings with PIs are scheduled for IIMCB Annual Report Session, 20-21 May 2016
 - Continuous process, depending on the need of young scientists
- 4. <u>Participation of scientists in externally organized career development related events</u> <u>When</u>:
 - We distributed information to IIMCB scientists on the *Polish Scientific Networks: Science and Business* conference, to be held 30.06-2.07.16 in Wroclaw, encouraging them to participate.
 - Continuous process we will distribute information on conferences, fairs, meetings and other externally organized career oriented events among IIMCB researchers.

<u>Who</u>: All actions mentioned above are coordinated and implemented by HR Working Group. Means: A budget from IIMCB own funds was assigned to cover the costs of the above actions.

3. OTM-R

At the seminar held in Brussels on 28 January, 2016, we were informed about the EC new OTM-R (Open Transparent Merit-based Recruitment) strategy and, as instructed, we are now waiting for the relevant information from the external contractor to be chosen by the EC. In both questionnaires organized so far at IIMCB within HRS4R process, the internal recruitment rules were highly appreciated by the Institute's scientists. Since the start of its activities in 1999 IIMCB has managed open, transparent and merit-based competitions. Such a recruitment procedure refers both to scientists and administration staff and is one of the fundamental rules governing the functioning of IIMCB. To give an example, all IIMCB lab leaders are selected in open, international competitions by IIMCB International Advisory Board, composed of several distinguished scientists from Poland and abroad. On the top of the internal rules, IIMCB follows the recruitment procedures recommended by the granting institutions, Polish and foreign, who in majority, follow the European recommendation stemming from HRS4R process. All in all, we believe that it is in IIMCB best interest to assure highest quality standard in our recruitment processes as such an approach simply increases prospects for the selection of best candidates.

4. Conclusions

The results of the Self-assessment show clearly that Action Plan was implemented effectively. Within the spam of two years we have reduced the number of challenges by nearly 60%, limiting them to three areas. We are aware that for one of them, being the stability and permanence of employment rule, IIMCB activities are limited. To counterbalance this problem we will put bigger emphasis on career pathway strategies and therefore will pursue activities of the New Action Plan 2016-2018 presented on page 12.

Along with the direct effects of the HRS4R process being implemented at our Institute, we observe an indirect positive impact of this initiative on the internal IIMCB research environment. European Charter and Code rules and principles have become more explicit to researchers and Institute's managers. They are more aware of their rights and responsibilities, what is important and what aspects of employment and working conditions should be paid special attention to. This inspires the Institute's employees to undertake bottom-up initiatives with the aim to make IIMCB a more attractive place to work at. It was the PhD Students idea to elaborate a leaflet addressed to IIMCB newcomers explaining in a nutshell all important information about the functioning of the Institute. Also, IIMCB Directors decided to introduce regular Open Days' sessions for the new employees.